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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application of: Srivastava

Confirmation No.: 9970

Application No.: 09/992,613

Art Unit: 1642

Filed: November 14, 2001

Examiner: TIDWELL, Judy Lille

For: IMMUNOTHERAPEUTIC STRESS  
PROTEIN-PEPTIDE COMPLEXES  
AGAINST CANCER

Attorney Docket No.: 8449-183-999  
CAM No.: 708584-999182

**SUPPLEMENTAL INFORMATION DISCLOSURE  
STATEMENT UNDER 37 C.F.R. §1.56 AND §1.97**

MAIL STOP AMENDMENT  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

In accordance with the continuing duty of disclosure imposed by 37 C.F.R. § 1.56 and § 1.97 to inform the Patent Office of all references coming to the attention of each individual associated with the filing or prosecution of the subject application, which are or may be material to the patentability of any claim of the application, Attorneys for Applicant hereby invite the Examiner's attention to the references EY, EZ and FA listed on the attached form "List of References Cited by Applicant." Copies of references EY, EZ and FA are submitted herewith.

Identification of the listed references is not meant to be construed as an admission of Applicant or Attorneys for Applicant that such references are available as "prior art" against the subject application.


Applicant respectfully requests that the Examiner review the foregoing references and that the references be made of record in the file history of the application.

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Pursuant to 37 C.F.R. § 1.97(b), Applicant estimates that a fee of **\$180.00** is due in connection with the filing of this Information Disclosure Statement. Please charge the necessary fee to Jones Day Deposit Account No. 50-3013. A duplicate of this sheet is enclosed for accounting purposes.

Respectfully submitted,

Date June 21, 2006

 32,605  
Adriane M. Antler (Reg. No.)

**JONES DAY**  
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New York, New York 10017-6702  
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Enclosures



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Sheet 1 of 1

**LIST OF REFERENCES CITED BY APPLICANT**  
(Use several sheets if necessary)

ATTY. DOCKET NO.

8449-183-999

APPLICATION NO.

09/992,613

APPLICANT

Srivastava

FILING DATE

November 14, 2001

ART UNIT

1642

**U.S. PATENT DOCUMENTS**

*Examiner Initials		Document Number	Date mm/dd/yy	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
	A01				

**FOREIGN PATENT DOCUMENTS**

*Examiner Initials		Foreign Patent Document Country Code, Number, Kind Code (if known)	Date mm/dd/yy	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T
	B01					

**NON PATENT LITERATURE DOCUMENTS**

*Examiner Initials		Include name of the author (in CAPITAL LETTERS), (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T
	EY	MACEJAK ET AL., 1991, "Association of HSP70 with the Adenovirus Type 5 Fiber Protein in Infected Hep-2 Cells," <i>Virology</i> , pp. 120-125, vol. 180.	
	EZ	MACEJAK ET AL., 1992, "Association of Heat Shock Protein 70 with Enterovirus Capsid Precursor P1 in Infected Human Cells," <i>J. Virology</i> , pp. 1520-1527, vol. 66.	
	FA	JINDAL ET AL., 1992, "Vaccinia Virus Infection Induces a Stress Response That Leads to Association of Hsp70 with Viral Proteins," <i>J. Virology</i> , pp. 5357-5362, vol. 66.	

NYJD-1626840v2

EXAMINER

DATE CONSIDERED

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.